## JC10 Rec'd PCT/PTO 2 1 JUL 2005

FORM PTO-1390 U.S. DE	PARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER								
(REV 10-94)		03955.0152USWO								
TRANSMITTAL LETTER	03/33.013203 H O									
DESIGNATED/ELECTE										
CONCERNING A FILIN	G UNDER 35 U.S.C. 371	27 (15) 10)								
		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unknaw								
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED								
PCT/AU2004/000089	22 January 2003									
TITLE OF INVENTION										
Microparticles for Selectively Targeted Hyper	rthermia									
APPLICANT(S) FOR DO/EO/US	W									
JONES et al.										
Applicant herewith submits to the United States De	esignated/Elected Office (DO/EO/US) the following	items and other information:								
1 (V) This is a FIDST submission of items	coming a filing under 25 U.S.C. 271									
<ol> <li>[X] This is a FIRST submission of items con</li> <li>[ ] This is a SECOND or SUBSEQUE.</li> </ol>	cerning a filing under 35 U.S.C. 371.  NT submission of items concerning a filing under 3.	5 U.S.C. 371.								
3. [X] This express request to begin national exa	amination procedures (35 U.S.C. 371(f)) at any time	rather than delay								
	plicable time limit set in 35 U.S.C. 371(b) and PCT									
4. [X] A proper Demand for International Prelin	ninary Examination was made by the 19th month fro	om the earliest claimed priority date.								
5. [X] A copy of the International Application as										
a. [X] is transmitted herewith (required b. [X] has been transmitted by the Inter-	only if not transmitted by the International Bureau	).								
	cation was filed in the United States Receiving Offic	ce (RO/US)								
	plication into English (35 U.S.C. 371(c)(2)).	(1.0, 0.5)								
<ol> <li>[X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</li> <li>a. [ ] are transmitted herewith (required only if not transmitted by the International Bureau).</li> </ol>										
b. [ ] have been transmitted by the										
<ul> <li>c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. [X] have not been made and will not be made.</li> </ul>										
8. [ ] A translation of the amendments to the	he claims under PCT Article 19 (35 U.S.C. 371(c)(3	))).								
9. [X] An unsigned oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).										
<ol> <li>A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>										
Items 11. to 16. below concern document(s) or in	formation included:									
11. [X] An Information Disclosure Statement und		nces).								
12. [ ] An assignment document for recording	ng. A separate cover sheet in compliance with 37 Cl	FR 3.28 and 3.31 is included.								
[X] A FIRST preliminary amendment.     [ ] A SECOND or SUBSEQUENT prel:	iminary amendment.									
14. [ ] A substitute specification.										
15. [ ] A change of power of attorney and/or	r address letter.									
16. [X] Other items or information: International Publication Page WO 2004/064921 A1, Form PCT/ISA/237, Form PCT/IPEA/409, Form PCT/IPEA/401, Form PCT/IPEA/408, Form PCT/ISA/210, 7 Pages of Formal Drawings, and Application Data Sheet (5 pages)										

JC12 Rec'd PCT/PTC 21 JUL 2005

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER				
Unknown 10/543063 PCT/AU2004/000089					03955.0152USWO				
BASIC NATION	AL F	EE (37 CFR 1.	492(a) (1)-(	5)):					
[X] a) Basic National fee\$300.00			\$300.00						
1 '		•••••				\$200.00			
[X] c) Search fee\$500.00				\$500.00					
TOTAL OF ABOVE CALCULATIONS =			\$1000.00						
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	fraction		of each additional 50 or thereof (round <b>up</b> to a whole number	Rate					
37 -100 =	0	/50 =			X \$250.00	\$0.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$0.00				
CLAIMS		NUMBER	FILED	NUMBER EXTRA	RATE				
Total claims		34	-20 =	14	X \$50.00	\$700.00			
Independent claim	ıs	3	-3 =	0	X \$200.00	\$0.00			
MULTIPLE DEPI	ENDE	NT CLAIM(S)	(if applicabl	(e)	+ \$360.00	\$0.00			
			TOTAL	OF ABOVE CALC	CULATIONS =	\$1700.00			
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27			\$850.00	·					
SUBTOTAL =				\$850.00					
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f).				\$0.00					
TOTAL NATIONAL FEE =				\$850.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$0.00					
TOTAL FEES ENCLOSED =			\$850.00						
					Amount to be: refunded	\$0.00			
						charged	\$0.00		
a. [X] Check(s) in the amount of \$850.00 to cover the above fees is enclosed.									
b. [ ] Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.									
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-2725.									
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									
SEND ALL CORRESPON						2 1	1/ 1		
Denise M. Kettelberger MERCHANT & GOULD SIGNATURE:				NATURE: A DALLA	on totteller.				
P.O. Box 2903	P.O. Box 2903					# ( )			
Minneapolis, MN 55402-0903				ME: Denise M. Kettelberger					
REG					GISTRATION NUMBER: 33,924				

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

JONES et al.

JC12 Rec'd PCT/PTC 21 JUL 2005

Docket:

03955.0152USWO

Title:

Microparticles for Selectively Targeted Hyperthermia

**CERTIFICATE UNDER 37 CFR 1.10:** 

"Express Mail" mailing label number: EV 495841640 US

Date of Deposit: July 21, 2005

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

Name: David Ortiz

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

We are transmitting herewith the attached:

☐ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.

National Stage PCT Patent Application: Spec. 25 pgs; 34 claims; Abstract 1 pgs.

The fee has been calculated as shown below in the 'Claims as Filed' table.

7 sheets of formal drawings

Small entity status is claimed pursuant to 37 CFR 1.27

An unsigned Combined Declaration and Power of Attorney

A check in the amount of \$850.00 to cover the Filing Fee

Information Disclosure Statement, Form 1449, 6 reference(s).

Application Data Sheet, 5 pages.

Other: International Publication Page WO 2004/064921 A1, Form PCT/ISA/237, Form PCT/IPEA/409, Form PCT/IPEA/416, Form PCT/IPEA/401, Form PCT/IPEA/408, Form PCT/ISA/210, Form 1390

Return postcard

## **CLAIMS AS FILED**

Number of Claims Filed	No.	In Excess of	Extra	Rate		Fee
Total Claims	34	20	14	25.00	=	350.00
Independent Claims	3	3	0	100.00	=	0.00
Multiple Dependent Claims Fee					=	0.00
Basic Filing Fee					Ħ	150.00
Search Fee			-		=	250.00
Examination Fee					=	100.00
Utility Application Size Fee	37	100	0	125.00	=	0.00
Total				 	=	850.00

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

Merchant & Gould P.C. P.O. Box 2903 Minneapolis, MN 55402-0903 612.332.5300

Name: Denise M. Kettelberger

Reg. No.: 33,924 Initials: DMK/cjc

23552
PATENT TRADEMARK OFFICE

TO TRANSMITTAL - NEW FILING)